

**RULES OF PRACTICE AND PROCEDURE
UNITED STATES COURT OF APPEALS
FOR THE ARMED FORCES**

Effective February 27, 1996
(As amended through June 22, 2017)

Table of Contents

GENERAL	1
Rule 1. Name.....	1
Rule 2. Seal	1
Rule 3. Oath of Judges.....	1
Rule 3A. Senior Judges.....	1
Rule 4. Jurisdiction.....	2
Rule 5: Scope of Review	3
Rule 6. Quorum.....	4
Rule 7. Process	5
Rule 8. Parties	5
CLERK’S OFFICE	6
Rule 9. Clerk	6
Rule 10. Docket	7
Rule 11. Calendar	8
Rule 12. Cases Involving Classified Information	8
ATTORNEYS	9
Rule 13. Qualifications to Practice.....	9
Rule 13A. Student Practice Rule.....	10
Rule 14. Honorary Membership	13
Rule 15. Disbarment and Disciplinary Action	13
Rule 16. Entry of Appearance and Withdrawal by Counsel.....	14
Rule 17. Assignment of Counsel.....	14

APPEALS..... 14

 Rule 18. Methods of Appeal 14

 Rule 19. Time Limits..... 15

 Rule 20. Form of Petition for Grant of Review..... 20

 Rule 21. Supplement to Petition for Grant of Review..... 22

 Rule 21A. Submissios under *United States v. Grostefon* 26

 Rule 22. Certificate for Review 26

 Rule 23. Mandatory Review Case..... 28

BRIEFS 30

 Rule 24. Form, Content, and Page Limitations..... 30

 Rule 25. When Briefs are Required 35

 Rule 26. Amicus Curiae Briefs..... 36

EXTRAORDINARY RELIEF 37

 Rule 27. Petition for Extraordinary Relief, Writ-Appeal Petition, Answer, and Reply 37

 Rule 28. Form of Petition for Extraordinary Relief, Writ-Appeal Petition, Answer, and Reply 39

PETITIONS FOR NEW TRIAL..... 44

 Rule 29. Filing, Notice, Briefs, and Special Master 44

MOTIONS..... 45

 Rule 30. Motions..... 45

FACTFINDING 45

 Rule 30A. Factfinding 45

RECONSIDERATION 46

 Rule 31. Petition for Reconsideration 46

 Rule 32. Form of Petition for Reconsideration 47

PRACTICE BEFORE THE COURT 48

 Rule 33. Suspension of Rules 48

 Rule 34. Computation of Time 48

 Rule 35. Filing of Record..... 49

Rule 35A. Use of Classified Information.....	49
Rule 36. Filing of Pleadings.....	50
Rule 36A. Citations to Supplemental Authorities	51
Rule 37. Printing, Copying, and Style Requirements.....	51
Rule 38. Signatures	52
Rule 39. Service of Pleadings	53
HEARINGS	54
Rule 40. Hearings	54
Rule 41. Photographing, Televising, Recording, or Broadcasting of Hearings.....	55
OPINIONS	56
Rule 42. Filing, Reproduction, and Distribution	56
Rule 43. Entry of Judgment	56
Rule 43A. Issuance of Mandate.....	56
JUDICIAL CONFERENCE.....	57
Rule 44. Judicial Conference.....	57
REVISION OF RULES	57
Rule 45. Rules Advisory Committee.....	57
APPENDIX.....	58
United States Court of Appeals for the Armed Forces	58
Washington, D.C.....	58

GENERAL

Rule 1. Name

Section 941 of Title 10, United States Code, provides that the name of the Court is the “United States Court of Appeals for the Armed Forces.”

[Amended October 12, 1994]

Rule 2. Seal

The official seal of the Court is as follows:

In front of a silver sword, point up, a gold and silver balance supporting a pair of silver scales, encircled by an open wreath of oak leaves, green with gold acorns; all on a grey-blue background and within a dark blue band edged in gold and inscribed “UNITED STATES COURT OF APPEALS FOR THE ARMED FORCES” in gold letters.

[Amended January 4, 1995]

Rule 3. Oath of Judges

Before undertaking the performance of the duties of his office, each Judge appointed to this Court shall take the oath or affirmation prescribed in § 453 of Title 28, United States Code (USC).

Rule 3A. Senior Judges

(a) With the Senior Judge’s consent, and at the request of the Chief Judge, a Senior Judge may perform judicial duties with the Court if an active Judge of the Court is disabled or has recused himself or if there is a vacancy in an active judgeship on the Court. For the periods of time when performing judicial duties with the Court, a Senior Judge shall receive the same pay, per diem, and travel allowances as an active Judge. The periods of performance of judicial duties shall be certified by the Chief Judge and reported to the Court Executive who shall take appropriate steps so that the Senior Judge is paid in accordance with Article 142(e)(2), Uniform Code of Military Justice (UCMJ), 10 U.S.C. § 942(e)(2).

(b) In addition to the performance of judicial duties with the Court, a Senior Judge may, at the request of the Chief Judge and with the Senior Judge’s consent, perform such other duties as the Chief Judge

may request or the Court may direct. Such other duties may include, but are not limited to, service as a special master or as an adviser on Court operations, administration, and rules; representation of the Court at conferences, seminars, committee meetings, or other assistance with conferences being conducted by the Court; and assistance in the compilation of history or archives of the Court. A Senior Judge shall not receive pay for the performance of such other duties with the Court but may be paid per diem and travel allowances to reimburse expenses incurred by the Senior Judge while performing such duties.

(c) Whether in the performance of judicial duties or other duties, a Senior Judge shall be provided such administrative and secretarial assistance, office space, and access to the courthouse, other public buildings, court files, and related information, as the Chief Judge considers appropriate for the performance of those duties by the Senior Judge.

(d) The title of Senior Judge may not be used in any way for personal gain or in connection with any business activity, advertisement, or solicitation of funds. However, the title of a Senior Judge may be referred to in any professional biography or listing and may be used in connection with any judicial or other duties that the Chief Judge requests the Senior Judge to perform.

(e) No Senior Judge of the Court may engage in the practice of law in connection with any matter that involves an investigation or trial for any matter arising under the UCMJ or appellate review of any court-martial proceeding by a Court of Criminal Appeals, the United States Court of Appeals for the Armed Forces, or the Supreme Court of the United States.

(f) These rules shall apply to “senior judges” as defined by Article 142(e)(1), UCMJ, 10 USC § 942(e)(1), and are promulgated pursuant to Article 142(e)(5), UCMJ, 10 USC § 942(e)(5).

[Adopted September 29, 1990, effective October 1, 1990; amended October 12, 1994; amended June 22, 2017]

Rule 4. Jurisdiction

(a) The jurisdiction of the Court is as follows:

(1) Death Sentences. Cases in which the sentence, as affirmed by a Court of Criminal Appeals, extends to death. *See* Rule 18(a)(3);

(2) Certified by a Judge Advocate General. Cases reviewed by a Court of Criminal Appeals, including decisions on appeal by the United States under Article 62, UCMJ, 10 USC § 862, or on application for extraordinary relief filed therein, which a Judge Advocate General forwards by certificate for review to the Court. *See* Rule 18(a)(2);

(3) Petitions by the Accused. Cases reviewed by a Court of Criminal Appeals, including decisions on appeal by the United States under Article 62, UCMJ, 10 USC § 862, in which, upon petition of the accused and on good cause shown, the Court has granted review. *See* Rule 18(a)(1).

(b) Extraordinary Writs.

(1) The Court may, in its discretion, entertain original petitions for extraordinary relief including, but not limited to, writs of mandamus, writs of prohibition, writs of habeas corpus, and writs of error coram nobis. *See* 28 USC § 1651(a) and Rules 18(b), 27(a) and 28. Absent good cause, no such petition shall be filed unless relief has first been sought in the appropriate Court of Criminal Appeals. Original writs are rarely granted.

(2) The Court may, in its discretion, entertain a writ-appeal petition to review the decision of a Court of Criminal Appeals on a petition for extraordinary relief. *See* Rules 18(a)(4), 19(e), 27(b), and 28.

(c) Rules Not to Affect Jurisdiction. These Rules shall not be construed to extend or to limit the jurisdiction of the United States Court of Appeals for the Armed Forces as established by law.

[Amended July 19, 1984, effective August 1, 1984; amended October 1, 1987; amended October 12, 1994; amended November 1, 1995, effective November 15, 1995]

Rule 5: Scope of Review

The Court acts only with respect to the findings and sentence as approved by reviewing authorities, and as affirmed or set aside as incorrect in law by a Court of Criminal Appeals, except insofar as it may take action on a certificate for review or a petition for review of a

decision by a Court of Criminal Appeals on appeal by the United States under Article 62, UCMJ, 10 USC § 862, or to grant extraordinary relief in aid of its jurisdiction. The Court may specify or act on any issue concerning a matter of law which materially affects the rights of the parties.

[Amended October 1, 1987; amended October 12, 1994; amended December 17, 2015, effective February 1, 2016]

Rule 6. Quorum

(a) A majority of the judges in regular active service authorized to constitute the United States Court of Appeals for the Armed Forces shall constitute a quorum. The concurrence of a majority of such judges, whether present and voting or voting telephonically or electronically, shall be required for a final resolution of any matter before the Court, subject to subsections (b), (c), and (d). In the event there are fewer than 3 active judges, such active judges shall constitute a quorum. *See* Article 144, UCMJ, 10 USC § 944.

(b) The Chief Judge, or the judge performing the duties of the Chief Judge, shall have the authority to issue temporary orders or stays pending the convening of a quorum.

(c) If no judge is present, the Clerk may adjourn the Court from day to day. *See* Rule 9(d).

(d) In the event a Senior Judge is recalled under Article 142(e), UCMJ, 10 USC § 942(e), or an Article III judge is designated under Article 142(f), UCMJ, 10 USC § 942(f), to sit on the Court, such judge shall be deemed to be a judge in regular active service under this rule with respect to those matters over which that judge has been recalled or designated to serve. To the extent that a judge in regular active service has been replaced under any circumstance set forth in Article 142(e)(1)(A)(i), (ii), or (iii), UCMJ, 10 USC § 942(e)(1)(A)(i), (ii), or (iii), that judge shall not be included in the constitution of a quorum under this rule.

[Interim change May 11, 1994; amended October 12, 1994; amended November 1, 1995, effective November 15, 1995]

Rule 7. Process

All process of the Court, except mandates, shall be in the name of the United States and shall contain the names and the military rank or civilian office, if any, of the parties.

Rule 8. Parties

(a) The title of any case filed with the Court shall contain the name and military rank of an accused and, where appropriate, the official military or civilian title of any named party who is an agent or officer of the United States acting in such official capacity. In the case of an appeal taken by the United States under Article 62, UCMJ, 10 USC § 862, the appeal shall be docketed under the same title given to the action in the court-martial, with the accused and the United States denominated as the sole parties therein.

(b) The party petitioning for grant of review of a decision of a Court of Criminal Appeals, whether from a decision on appeal by the United States under Article 62, UCMJ, 10 USC § 862, or from a decision affecting the findings or sentence or both of a court-martial, or from a decision on application for extraordinary relief, will be deemed to be the appellant. Other named parties will be deemed to be the appellees.

(c) When a certificate for review is filed by a Judge Advocate General, the party prevailing below on the certified issues will be deemed to be the appellee. The other party will be deemed to be the appellant.

(d) When a mandatory review case is filed, the accused therein will be deemed to be the appellant. The other party will be deemed to be the appellee.

(e) If a petition for grant of review or a certificate for review is filed after an action has been docketed in the same case, the party on whose behalf relief is sought in the second action will be deemed to be the appellant or cross-appellant, depending on whether such party has been deemed to be the appellant or appellee in the first action. The other party in the second action will be deemed to be the appellee or cross-appellee in a similar manner.

(f) The party or parties filing a petition for extraordinary relief with the Court will be deemed to be the petitioner or petitioners. All parties

to the proceeding below other than the petitioner or petitioners will be deemed to be the respondents for all purposes.

[Amended October 1, 1987; amended February 27, 1991; amended October 12, 1994; amended March 26, 1998, effective May 1, 1998]

CLERK'S OFFICE

Rule 9. Clerk

(a) Location of Office. The Clerk's office shall be located at the courthouse at 450 E Street, Northwest, Washington, D.C. 20442-0001

(b) Oath of Office. Before entering upon the execution of his office, the Clerk shall take the oath or affirmation prescribed in § 951 of Title 28, United States Code.

(c) Custodian of Records. The Clerk shall serve as custodian of the records of the Court and shall not permit any documents relative to a case to be taken from the courthouse except by order of a judge of the Court.

(d) Disposition of Procedural Matters. Notwithstanding the provisions of Rule 6, the Clerk, on behalf of the Court, may entertain and act on any motion seeking an enlargement of time not to exceed 30 days, leave to withdraw as counsel, or permission to file pleadings or other papers relative to a matter pending before the Court, provided such motion is not opposed and such action does not substantially affect the rights of the parties or the ultimate decision in the case. The order of the Clerk shall be deemed the order of the Court.

(e) Hours. The Clerk's office shall be open from 8:00 a.m. to 5:00 p.m. every day except Saturdays, Sundays, and legal holidays, or as otherwise ordered by the Court. *See* Rule 36(a). The Court is always open for filing of pleadings and other papers. A pleading or other paper may be filed outside of normal operating hours of the Clerk's office by delivery to Court security personnel on duty in the front lobby of the courthouse. Pleadings will be deemed filed on the date and time delivered to Court security personnel. Court security personnel will notify the Clerk of the filing in accordance with procedures provided by the Clerk.

[Amended March 31, 1994; amended January 20, 1999, effective February 1, 1999; amended September 20, 1999, effective October 1,

1999; amended December 8, 2006, effective January 1, 2007; amended April 19, 2011, effective May 1, 2011]

Rule 10. Docket

(a) Maintenance of Docket. The Clerk shall maintain:

(1) a regular docket for cases subject to mandatory review, petitions to review convictions or sentences affirmed by a Court of Criminal Appeals, and certificates for review of final decisions in a Court of Criminal Appeals;

(2) a miscellaneous docket for petitions for grant of review and certificates for review of decisions by a Court of Criminal Appeals on appeal by the United States under Article 62, UCMJ, 10 USC § 862, petitions for extraordinary relief, writ-appeal petitions, and certificates for review of decisions on application for extraordinary relief in a Court of Criminal Appeals; and

(3) a special docket of the matters arising under Rule 15 concerning complaints of unprofessional conduct against a member of the Bar of this Court, and petitions and other correspondence that are not docketed on another docket, and that are returned to the sender.

The receipt of all pleadings or other papers filed, and any action by the Court relative to a case, will be entered in the appropriate docket. Entries in each docket will show the date, the nature of each pleading or other paper filed, and the substance of any action taken by the Court. From time to time, the Clerk shall, under the general direction of the Court, determine the appropriate manner for keeping and preserving the dockets.

(b) Docket Number. In a mandatory review case, a docket number will be assigned upon receipt of the record from the Judge Advocate General. In all other cases, a docket number will be assigned upon receipt of the initial pleading. All pleadings or other papers subsequently filed in the case will bear the assigned docket number.

(c) Notice of Docketing. The Clerk shall notify the appropriate Judge Advocate General and all parties of the receipt and docketing of a case and the docket number assigned. In the case of a petition for extraordinary relief, the Clerk shall also notify all named respondents of the petition's receipt and docketing.

(d) Entry of Judgment. The Clerk shall prepare, sign, date, and enter the judgment immediately upon the filing of the opinion of the Court. If a judgment is rendered without an opinion, the Clerk shall prepare, sign, date, and enter such judgment in an order following instruction from the Court. The Clerk shall, on the date a judgment is entered, distribute to all parties and the Judge Advocate General of the service in which the case arose a copy of the judgment and opinion, if any, or of the order if no opinion was written. *See* Rule 43.

[Amended March 26, 1998, effective May 1, 1998; amended October 25, 2005, effective January 1, 2006]

Rule 11. Calendar

(a) The Clerk shall prepare a calendar, consisting of the cases that have become or will be available for hearing, which shall be arranged in the first instance in the chronological order in which petitions for grant of review have been granted or certified questions and mandatory appeals have been filed with the Court. The arrangement of cases on the calendar shall be subject to modification in light of the availability of pleadings, extensions of time to file briefs, and orders to advance or specially set cases for hearing.

(b) The Clerk shall periodically publish hearing lists in advance of each Court session for the convenience of counsel and the information of the public.

(c) The Clerk shall advise counsel when they are required to be present in Court. *See* Rule 40(b)(1).

(d) Cases may be advanced or postponed by order of the Court, upon motion duly made showing good cause therefor, or on the Court's own motion. *See* Rule 40(b).

(e) Two or more cases involving the same question may, on the Court's own order or by special permission, be heard together as one case or on such terms as may be prescribed.

Rule 12. Cases Involving Classified Information

(a) Court Security Officer. The Clerk shall serve as the Court Security Officer for the purposes of providing for the protection of classified information, and may designate such assistants as are appropriate for such purposes.

(b) **Classified Documents.** Documents containing classified information will be stored and safeguarded by the Court Security Officer in accordance with the Department of Defense Information Security Program Regulation (DoD Regulation 5200.1-R) or the security procedures established by the Chief Justice of the United States pursuant to Pub.L. No. 96-456, 94 Stat. 2025, as appropriate. *See* Rules 9(c) and 35A.

(c) **Security Clearances.** Security clearances for personnel on the staff of the Court will be obtained by the Court Security Officer in accordance with DoD Regulation 5200.1-R.

[Amended January 20, 1999, effective February 1, 1999]

ATTORNEYS

Rule 13. Qualifications to Practice

(a) No attorney shall practice before this Court unless the attorney has been admitted to the Bar of this Court or is appearing pro hac vice by leave of the Court. *See* Rule 38(b).

(b) It shall be a requisite to the admission of attorneys to the Bar of this Court that they be a member of the Bar of a federal court or of the highest court of a State, Territory, Commonwealth, or Possession, and that their private and professional character shall appear to be good.

(c) Each applicant shall file with the Clerk an application for admission on the form prescribed by the Court, together with an application fee in an amount prescribed by Court order and a certificate from the presiding judge, clerk, or other appropriate officer of a court specified in (b) above, or from any other appropriate official from the Bar of such court, that the applicant is a member of the Bar in good standing and that such applicant's private and professional character appear to be good. The certificate of good standing must be an original and must be dated within one year of the date of the application.

(d) If the documents submitted demonstrate that the applicant possesses the necessary qualifications, the Clerk shall so notify the applicant and he or she may be admitted without appearing in Court by subscribing a written oath or affirmation. However, if the applicant so elects, the admission may be on oral motion by a member of the Bar of this Court in open court. Upon admission, the Clerk shall issue to

the attorney a wallet-size admission card and a large certificate of admission suitable for framing.

(e) Each applicant shall take or subscribe the following oath or affirmation:

“I ***, do solemnly swear (or affirm) that I will support the Constitution of the United States, and that I will conduct myself, as an attorney and counselor of this Court, uprightly and according to law. So help me God.”

(f) Admissions will be granted on motion of the Court or upon oral motion by a person admitted to practice before the Court. Special admissions may be held by order of the Court.

[Amended July 16, 1990, effective August 15, 1990; amended August 15, 1991, effective October 1, 1991; amended October 22, 2001, effective November 1, 2001]

Rule 13A. Student Practice Rule

(a) Appearance by Law Student. With leave of this Court, an eligible law student acting under a supervising attorney may appear in a particular case, except a case in which any party is under or is potentially subject to a sentence of death, on behalf of any party, including the United States, provided that the student and supervising attorney comply with the provisions of this rule.

(b) Eligibility of Student. To be eligible to appear and participate in any case, a law student must:

(1) be a student in good standing in a law school approved by the American Bar Association, or be a recent graduate of such school awaiting the result of a state bar examination;

(2) have completed legal studies amounting to at least 4 semesters, or the equivalent if the school is on some basis other than a 3-year, 6-semester basis;

(3) have completed and received a passing grade in courses in criminal procedure and criminal law;

(4) neither ask for nor receive any compensation or remuneration of any kind from the person on whose behalf the services are rendered; and;

(5) be familiar with the UCMJ and the Rules of this Court.

(c) Supervising Attorney Requirements. A supervising attorney must:

- (1) be an attorney of record in the case;
- (2) be a member in good standing of the Bar of this Court;
- (3) have been admitted to practice for a minimum of 2 years and have appeared and argued in at least 1 case before this Court or appeared and argued in at least 3 cases before state or federal appellate courts;
- (4) not supervise more than 5 students at any one time;
- (5) appear with the student in any oral presentations before this Court;
- (6) read, approve, and sign all documents filed with this Court;
- (7) assume personal professional responsibility for the student's work in matters before this Court;
- (8) be responsible to supplement the oral or written work of the student as necessary to ensure proper representation of the client;
- (9) guide and assist the student in preparation to the extent necessary or appropriate under the circumstances;
- (10) be available to consult with the client; and
- (11) neither ask for nor receive any compensation or remuneration of any kind from the person on whose behalf the services are rendered.

(d) Authorization and Certification.

- (1) The party on whose behalf the student appears must consent to the representation by that student in writing.
- (2) The supervising attorney must indicate in writing approval of the appearance by the law student and consent to supervise the student.
- (3) The law student must be certified by the dean of the student's law school as being of good character and competent legal ability.
- (4) Before commencing student representation in any case under this rule, the supervising attorney shall file a motion for leave to

allow student representation in such case. The motion should put forth that the provisions of this rule have been met and that in counsel's view the case is an appropriate one for student representation. The written consent, approval, and certification referred to above shall be attached to the motion. A copy of the motion shall be served on opposing counsel, but no answer will be allowed except with leave of the Court. Once these documents are filed, the Court will decide, using its discretion on a case-by-case basis, whether to allow the student representation.

(e) Activities. Upon fulfilling the requirements of this rule, the student may enter an appearance in a case and:

(1) assist in the preparation of briefs and other documents to be filed in this Court, but such briefs or documents must also be signed by the supervising attorney;

(2) participate in oral argument, but only in the presence of the supervising attorney; and

(3) take part in other activities in connection with the case, subject to the direction of the supervising attorney.

(f) Termination. The dean's certification of the student:

(1) shall remain in effect, unless sooner withdrawn, until the publication of the results of the first bar examination taken by such student following the student's graduation. For any student who passes that examination, the certification shall continue in effect until the date the student is admitted to the bar;

(2) may be withdrawn by the Court at any time; and

(3) may be withdrawn by the dean at any time.

(g) Exceptions.

(1) This rule does not apply to an appearance or an oral argument by a law student on behalf of an *amicus curiae*. *See* Rule 26.

(2) Nothing in this rule shall preclude the Government or any agency, firm, or organization from compensating a law student for services rendered under such rule.

(3) The Court retains the authority, on good cause shown, to establish exceptions to these procedures in any case. *See* Rule 33.

(h) Time for Filing. An amicus brief submitted under this Rule is not subject to the time limitation in Rule 26, but such brief shall be filed no less than 14 days before the scheduled date of oral argument. Both the appellant and the appellee may file a reply to such brief within 7 days of the filing thereof, subject to the limitations specified in Rule 24 (b) and (c).

[Adopted November 1, 1995, effective November 15, 1995; amended March 15, 2012, effective April 1, 2012]

Rule 14. Honorary Membership

[Rescinded January 1, 2007]

Rule 15. Disbarment and Disciplinary Action

(a) The Model Rules of Professional Conduct of the American Bar Association are hereby adopted as the rules of conduct for members of the Bar of this Court. To the extent that these rules are inconsistent with applicable service rules of professional conduct, the conduct of judge advocates will be reviewed under the rules of their service. To the extent that these rules are inconsistent with the rules of professional conduct which apply in the location where a civilian member of the bar maintains a principal office, the conduct of civilian counsel will be reviewed under the rules of their licensing jurisdiction.

(b) Whenever a member of the Bar of this Court has been disbarred or suspended from practice in any court of record, the Court will enter an order suspending that member from practice before this Court and affording the member an opportunity to show cause, within 30 days, why a disbarment order should not be entered. Upon response, or if no response is timely filed, the Court will enter an appropriate order.

(c) If it appears that a member of the Bar of this Court has engaged in conduct unbecoming a member of the Bar, or failed to comply with this Rule or any other Rule or order of the Court, the Court may enter an order affording the member an opportunity to show cause, within 30 days, why disciplinary action should not be taken. If the member, in responding to the show cause order, raises material questions of fact, the Court may appoint a special master who shall hold a hearing and prepare proposed findings of fact and recommendations. After affording the member of the Bar a reasonable opportunity to prepare written objections to the proposed findings of fact and recommendations, the proposed findings and recommendations,

together with any written objections thereto, shall be submitted to the Court. Upon due consideration thereof, the Court may take such disciplinary action as it deems appropriate against the member of the Bar.

[Amended July 16, 1990, effective August 15, 1990; amended March 26, 1998, effective May 1, 1998; amended July 27, 2004, effective October 1, 2004]

Rule 16. Entry of Appearance and Withdrawal by Counsel

(a) Counsel shall enter an appearance in writing before participating in the representation of a party to an action before the Court; however, the filing of any pleading or other paper relative to a case which contains the signature of counsel shall constitute such an entry of appearance. *See* Rules 13(a) and 38.

(b) Leave to withdraw by any counsel who has entered an appearance under subsection (a) must be requested by motion in accordance with Rule 30. A motion by an appellate defense counsel must indicate the reasons for the withdrawal and the provisions which have been made for continued representation of the accused. A copy of a motion filed by an appellate defense counsel shall be delivered or mailed to the accused by the moving counsel.

Rule 17. Assignment of Counsel

Upon receipt of a notice of the docketing of a case issued under Rule 10(c), the appropriate Judge Advocate General shall designate appellate military counsel to represent the parties, unless such counsel have previously been designated. In a case involving a petition for extraordinary relief wherein an accused has been denominated as the real party in interest by a filing party or has been so designated by the Court, the Judge Advocate General shall also designate appellate military counsel to represent such accused.

APPEALS

Rule 18. Methods of Appeal

(a) The Court will entertain the following appeals:

(1) Cases under Article 67(a)(3). Cases under Article 67(a)(3), UCMJ, 10 USC § 867(a)(3), including decisions by a Court of Criminal Appeals on appeal by the United States under Article 62, UCMJ, 10

USC § 862, may be appealed by the filing of a petition for grant of review by an appellant or by counsel on behalf of an appellant substantially in the form provided in Rule 20(a) or (b).

(2) Cases under Article 67(a)(2). Cases under Article 67(a)(2), UCMJ, 10 USC § 867(a)(2), including decisions by a Court of Criminal Appeals on appeal by the United States under Article 62, UCMJ, 10 USC § 862, which are forwarded by a Judge Advocate General by a certificate for review must be substantially in the form provided in Rule 22(a).

(3) Cases under Article 67(a)(1). Cases under Article 67(a)(1), UCMJ, 10 USC § 867(a)(1), will be forwarded by a Judge Advocate General by the filing of the record with the Court, together with the form prescribed by Rule 23(a).

(4) Cases under Rule 4(b)(2). Decisions by a Court of Criminal Appeals on petitions for extraordinary relief may be appealed by filing a writ-appeal petition in accordance with Rules 27(b) and 28.

(b) In addition, the Court may, in its discretion, entertain petitions for extraordinary relief including, but not limited to, writs of mandamus, writs of prohibition, writs of habeas corpus, and writs of error coram nobis. *See* Rules 4(b)(1), 27(a), and 28.

[Amended October 1, 1987; amended October 12, 1994; amended March 26, 1998, effective May 1, 1998]

Rule 19. Time Limits

(a) Petition for Grant of Review / Supplement / Answer / Reply

(1) The petition for grant of review shall be filed no later than 60 days from the earlier of:

(A) The date on which the appellant is notified of the decision of the Court of Criminal Appeals; or

(B) The date on which a copy of the decision of the Court of Criminal Appeals, after being served on appellate counsel of record for the appellant (if any), is deposited in the United States mails for delivery by first-class certified mail to the appellant at an address provided by the appellant or, if no such address has been provided by the appellant, at the latest address listed for the appellant in his official service record. Under circumstances where certified mail is not

available, registered mail may be used. *See* Article 67(b), UCMJ, 10 USC § 867(b).

(2) A certificate of notification shall be placed in the appellant's record of trial setting forth the manner and date that the appellant was notified of the decision of the Court of Criminal Appeals or the date that a copy of such decision was mailed to the appellant after service of a copy of such decision on appellate defense counsel of record.

(3) For purposes of this rule, a petition for grant of review will be deemed to have been filed on the date when the petition has been mailed or delivered by an appellant or by counsel on behalf of an appellant directly to the Court.

(4) Any petition for grant of review received from an appellant or counsel on behalf of an appellant shall, upon receipt, be accepted and docketed by the Clerk. If it appears that such petition is not in accord with Article 67, UCMJ, 10 USC § 867, or with the Court's Rules, the United States may move to dismiss such petition.

(5) Filing of Petitions.

(A) *Petition Filed by Counsel.* In all cases where the petition is filed by counsel, a supplement to the petition establishing good cause in accordance with Rule 21 shall be filed contemporaneously with the petition. A motion for leave to file the supplement separately from the petition will be considered under Rule 30. If granted, the supplement shall be filed within 20 days of the order. Any further motion for enlargement of time to file the supplement, while disfavored, will be granted for good cause shown. An appellee's answer to the supplement to the petition, except for cases on appeal by the United States under Article 62, UCMJ, 10 USC § 862, may be filed no later than 20 days after the filing of the supplement. *See* Rule 21(e). A reply may be filed by the appellant no later than 5 days after the filing of appellee's answer. An appellee's answer to the supplement in a case under appeal by the United States under Article 62, UCMJ, may be filed no later than 10 days after the filing of the supplement; an appellant may file a reply no later than 5 days after the filing of appellee's answer.

(B) *Petition Filed by Appellant.* In all cases where the petition is filed by the appellant, a supplement to the petition shall be

filed by counsel no later than 20 days after the issuance by the Clerk of a notice of docketing of the petition. *See* Rule 10(c). An appellee's answer to the supplement to the petition and an appellant's reply may be filed in accordance with the time limits contained in Rule 19(a)(5)(A).

(C) *Grostejon* Issues. Issues raised pursuant to *United States v. Grostejon*, 12 M.J. 431 (C.M.A. 1982), if not raised earlier, may be presented to the Court by motion filed pursuant to Rule 30(a) no later than 30 days following the filing of the supplement to the petition.

(6) The Court shall act promptly on a petition for grant of review. *See* Article 67(b), UCMJ, 10 USC § 867(b).

(7) Granted Petitions.

(A) Article 62, UCMJ, Appeals. Where a petition has been granted in a case involving a decision by a Court of Criminal Appeals on appeal by the United States under Article 62, UCMJ, 10 USC § 862, no further pleadings will be filed and the Court will, whenever practicable, give priority to such cases.

(B) Other Appeals. Where a petition has been granted in all other appeal cases and briefs have been ordered, an appellant's brief shall be filed in accordance with Rule 24 no later than 30 days after the date of the order granting the petition. An appellee's answer shall be filed no later than 30 days after the filing of an appellant's brief. A reply may be filed by the appellant no later than 10 days after the filing of the appellee's answer.

(b) Certificate for Review / Brief / Answer / Reply

(1) Article 62, UCMJ, Cases. In cases involving a decision by a Court of Criminal Appeals on appeal by the United States under Article 62, UCMJ, 10 USC § 862, a certificate for review, together with a supporting brief in accordance with Rule 24 on behalf of the appellant, shall be filed with the Court by the Judge Advocate General no later than 60 days after the date of the decision of the Court of Criminal Appeals. *See* Rules 22 and 34(a). An appellee's answer shall be filed no later than 10 days after the filing of such certificate for review and supporting brief. A reply may be filed by the appellant no later than 5 days after the filing of the appellee's answer.

(2) Extraordinary Relief Cases. In cases involving a decision by a Court of Criminal Appeals on application for extraordinary relief filed therein, a certificate for review, together with a supporting brief in accordance with Rule 24 on behalf of the appellant, shall be filed with the Court by the Judge Advocate General no later than 60 days after the date of the decision of the Court of Criminal Appeals. *See* Rules 22 and 34(a). An appellee's answer shall be filed no later than 10 days after the filing of such certificate for review and supporting brief. A reply may be filed by the appellant no later than 5 days after the filing of the appellee's answer.

(3) Other Cases. In all other cases involving a decision by a Court of Criminal Appeals, a certificate for review filed by the Judge Advocate General shall be filed either (a) no later than 60 days after the date of the decision of the Court of Criminal Appeals (*see* Rules 22 and 34(a)), or (b) no later than 30 days after a petition for grant of review is granted. In cases that involve both granted and certified issues, the Clerk may establish a consolidated briefing schedule for all issues. In cases that involve only certified issue(s), an appellant's brief shall be filed in accordance with Rule 24 no later than 30 days after the issuance by the Clerk of a notice of docketing of the certificate for review. An appellee's answer shall be filed no later than 30 days after the filing of an appellant's brief. A reply may be filed by the appellant no later than 10 days after the filing of the appellee's answer.

(c) Mandatory Review Case. The record in a mandatory review case shall be filed with the Court by the Judge Advocate General, together with the form prescribed by Rule 23(a), upon the expiration of the time for filing a petition for reconsideration of the decision of the Court of Criminal Appeals or, in the event of the filing of such petition, upon the final disposition thereof. A brief setting forth assigned errors shall be filed by the appellant in accordance with Rule 24 no later than 60 days after the issuance by the Clerk of a notice of docketing of the case. An appellee's answer shall be filed no later than 60 days after the filing of the appellant's brief. A reply may be filed by the appellant no later than 20 days after the filing of the appellee's answer.

(d) Petition for Extraordinary Relief. A petition for extraordinary relief under Rule 4(b)(1) shall be filed as soon as possible but, in any event, no later than 20 days after the petitioner learns of the action complained of. However, a petition for a writ of habeas corpus or writ

of error coram nobis may be filed at any time. See Rules 27(a) and 28. The Court will, whenever practicable, give priority to such cases.

(e) Writ-Appeal Petition. A writ-appeal petition under Rule 4(b)(2) for review of a decision by a Court of Criminal Appeals acting on a petition for extraordinary relief shall be filed no later than 20 days after the date the decision of the Court of Criminal Appeals is served on the appellant or the appellant's counsel. An appellee's answer shall be filed no later than 10 days after the filing of the writ-appeal petition. A reply may be filed by an appellant no later than 5 days after the filing of the appellee's answer. *See* Rules 27(b) and 28. The Court will, whenever practicable, give priority to such cases.

(f) Petition for New Trial. When a petition for new trial has been filed with the Court in a case pending before the Court, a brief in support thereof, unless expressly incorporated in the petition, shall be filed no later than 30 days after the issuance by the Clerk of a notice of the filing of the petition. An appellee's answer shall be filed no later than 30 days after the filing of an appellant's brief. A reply may be filed no later than 10 days after the filing of appellee's answer. *See* Rule 29.

(g) Timely Motion for Reconsideration Before the Court of Criminal Appeals. If an appeal is filed in this Court before the expiration of time to file a motion for reconsideration in a Court of Criminal Appeals, this Court, upon the prompt filing of a motion to dismiss by a party stating that a timely motion for reconsideration is pending in a Court of Criminal Appeals, may dismiss the appeal without prejudice and remand the case to the Court of Criminal Appeals for resolution of the motion for reconsideration. Following a decision by the Court of Criminal Appeals on the motion for reconsideration, review may be sought in this Court under Article 67, UCMJ.

[Amended October 1, 1987; amended October 12, 1994; amended November 1, 1995, effective November 15, 1995; amended March 26, 1998, effective May 1, 1998; amended December 8, 2006, effective January 1, 2007; amended July 15, 2009, effective September 1, 2009, amended August 8, 2012, effective September 1, 2012]

Rule 20. Form of Petition for Grant of Review

(a) Form To Be Used By An Appellant. A petition for grant of review under Rule 18(a)(1) filed personally by an appellant will be substantially in the following form:

IN THE UNITED STATES COURT OF APPEALS
FOR THE ARMED FORCES

UNITED STATES,
Appellee

PETITION FOR GRANT
OF REVIEW

v.

(Full typed name, rank,
& service of appellant)
Appellant

Crim.App. Dkt. No. ____

USCA Dkt. No. _____
[For Court use only]

TO THE JUDGES OF THE UNITED STATES COURT OF APPEALS
FOR THE ARMED FORCES:

1. I hereby petition the Court for review of the decision of the Court of Criminal Appeals [on appeal by the United States under Article 62, Uniform Code of Military Justice, 10 USC § 862] [on appeal under Article 66, Uniform Code of Military Justice, 10 USC § 866].

2. I understand that, unless I specifically request the contrary, a military lawyer will be designated by the Judge Advocate General to represent me free of charge before the U.S. Court of Appeals for the Armed Forces.

SIGNED: _____
(Put your signature here)

DATED: _____
(Put mailing date here)

MAIL TO:

U.S. Court of Appeals for the
 Armed Forces
 450 E Street, NW
 Washington, D.C. 20442-0001

(b) Form To Be Used By An Appellant's Counsel. A petition for grant of review under Rule 18(a)(1) filed by counsel on behalf of an appellant will be substantially in the following form:

IN THE UNITED STATES COURT OF APPEALS
 FOR THE ARMED FORCES

UNITED STATES,
 Appellee

PETITION FOR GRANT
 OF REVIEW

v.

 (Full typed name, rank,
 & service of appellant)
 Appellant

Crim.App. Dkt. No. _____

USCA Dkt. No. _____
 [For Court use only]

TO THE JUDGES OF THE UNITED STATES COURT OF APPEALS
 FOR THE ARMED FORCES:

The undersigned counsel, on behalf of (*insert appellant's full name here*), hereby petitions the United States Court of Appeals for the Armed Forces for a grant of review of the decision of the Court of Criminal Appeals [on appeal by the United States under Article 62, Uniform Code of Military Justice, 10 USC § 862] [on appeal under Article 66, Uniform Code of Military Justice, 10 USC § 866], pursuant to the provisions of Article 67(a)(3), Uniform Code of Military Justice, 10 USC § 867(a)(3).

 (Signature of counsel)

(Typed name of counsel)

(Address of counsel)

(Telephone no. of counsel)

(E-mail address of counsel)

(Date and manner of filing—
See Rules 36 and 39)

(c) An appellant or counsel on behalf of an appellant shall file a petition for grant of review in the manner and within the time limits set forth in Rule 19(a). Upon receipt, the Clerk shall stamp the petition indicating the date it was received and, if filed by mail under Rule 36(c), shall retain the envelope showing the postmark thereon.

(d) When a petition for grant of review is filed with the Court, the Clerk will cause a copy thereof to be delivered to the Judge Advocate General of the appellant's service, to the appellant's counsel, if named in the petition, and to government counsel. Upon receipt of a copy of the petition from the Clerk, the Judge Advocate General shall designate counsel to represent the parties unless such parties are already represented by counsel. *See Rule 17.*

(e) Upon issuance by the Clerk under Rule 10(c) of a notice of docketing of a petition for grant of review filed personally by an appellant, counsel for the appellant shall file a supplement to the petition in accordance with the applicable time limit set forth in Rule 19(a)(5)(B), and the provisions of Rule 21.

[Amended October 1, 1987; amended October 12, 1994; amended February 27, 1996; amended October 22, 2001, effective November 1, 2001; amended July 15, 2009, effective September 1, 2009]

Rule 21. Supplement to Petition for Grant of Review

(a) Review on petition for grant of review requires a showing of good cause. Good cause should be shown by the appellant in the supplement to the petition, which shall state with particularity the error(s) claimed to be materially prejudicial to the substantial rights of the appellant. *See Article 59(a), UCMJ, 10 USC § 859(a).*

(b) The supplement to the petition shall be filed in accordance with the applicable time limit set forth in Rule 19(a)(5)(A) or (B), shall include an Appendix containing a copy of the decision of the Court of

Criminal Appeals, unpublished opinions cited in the brief, relevant extracts of rules and regulations, and shall conform to the provisions of Rules 24(b), 35A, and 37. Unless authorized by Order of the Court or by motion of a party granted by the Court, the supplement and any answer thereto shall not exceed 25 pages, except that a supplement or answer containing no more than 9,000 words or 900 lines of text is also acceptable. Any reply to the answer shall not exceed 10 pages, except that a reply containing 4,000 words or 400 lines of text is also acceptable. The supplement shall contain:

(1) A statement of the errors assigned for review by the Court, expressed concisely in relation to the circumstances of the case, without unnecessary detail. The assigned errors should be short and should not be argumentative or repetitive;

(2) A statement of statutory jurisdiction, including:

(A) The statutory basis of the Court of Criminal Appeals jurisdiction;

(B) The statutory basis upon which this Court's jurisdiction is invoked;

(3) A statement of the case setting forth a concise chronology, including all relevant dates. The chronology shall specify: (A) the results of the trial; (B) the actions of the intermediate reviewing authorities and the Court of Criminal Appeals; (C) the disposition of a petition for reconsideration or rehearing, if filed; and (D) any other pertinent information regarding the proceedings, including, if set forth in the record, the date when service upon the accused of the decision of the Court of Criminal Appeals was effected;

(4) A statement of the facts of the case material to the errors assigned, including specific page references to each relevant portion of the record of trial;

(5) A direct and concise argument showing why there is good cause to grant the petition, demonstrating with particularity why the errors assigned are materially prejudicial to the substantial rights of the appellant. Where applicable, the supplement to the petition shall also indicate whether the court below has:

(A) decided a question of law which has not been, but should be, settled by this Court;

(B) decided a question of law in a way in conflict with applicable decisions of (i) this Court, (ii) the Supreme Court of the United States, (iii) another Court of Criminal Appeals, or (iv) another panel of the same Court of Criminal Appeals;

(C) adopted a rule of law materially different from that generally recognized in the trial of criminal cases in the United States district courts;

(D) decided the validity of a provision of the UCMJ or other act of Congress, the Manual for Courts-Martial, a service regulation, a rule of court or a custom of the service the validity of which was directly drawn into question in that court;

(E) decided the case (i) en banc or (ii) by divided vote;

(F) so far departed from the accepted and usual course of judicial proceedings, or so far sanctioned such a departure by a court-martial or other person acting under the authority of the UCMJ, as to warrant review by the Court; or

(G) taken inadequate corrective action after remand by the Court subsequent to grant of an earlier petition in the same case and that appellant wishes to seek review from the Supreme Court of the United States specifying the issue or issues on which certiorari review would be sought, whether related to the remand or to the original decision by this Court; and

(6) A certificate of filing and service in accordance with Rule 39(g).

(c)(1) Answer / Reply in Article 62, UCMJ, Appeals. An appellee's answer to the supplement to the petition for grant of review in an Article 62, UCMJ, 10 USC § 862, case shall be filed no later than 10 days after the filing of such supplement. A reply may be filed by the appellant no later than 5 days after the filing of the appellee's answer.

(2) Answer / Reply in Other Appeals. An appellee's answer to the supplement to the petition for grant of review in all other appeal cases may be filed no later than 20 days after the filing of such supplement (see Rule 21(e)); as a discretionary alternative in the event a formal answer is deemed unwarranted, an appellee may file with the Clerk of the Court a short letter, within 10 days after the filing of the appellant's supplement to the petition under Rule 21, setting forth one

of the following alternative positions: (i) that the United States submits a general opposition to the assigned error(s) of law and relies on its brief filed with the Court of Criminal Appeals; or (ii) that the United States does not oppose the granting of the petition (for some specific reason, such as an error involving an unsettled area of the law). A reply may be filed by the appellant no later than 5 days after the filing of the appellee's answer.

(d) The Court may, in its discretion, examine the record in any case for the purpose of determining whether there appears to be plain error not assigned by the appellant. The Court may then specify and grant review of any such errors as well as any assigned errors which merit review.

(e) Where no specific errors are assigned in the supplement to the petition, the Court will proceed to review the petition without awaiting an answer thereto. *See* Rule 19(a)(5).

(f) An appellant or counsel for an appellant may move to withdraw his petition at any time by filing a motion pursuant to Rule 30. Such a motion shall substantially comply with the requirements of Rule for Courts-Martial 1110, and be accompanied by a written request for withdrawal that includes the following:

(1) A statement that the appellant and counsel for the appellant have discussed the appellant's right to appellate review, the effect of the withdrawal, and that the appellant understands these matters;

(2) A statement that the motion to withdraw the petition is submitted voluntarily and cannot be revoked;

(3) The signatures of the appellant and counsel for the appellant.

[Amended October 1, 1987; amended July 16, 1990, effective August 15, 1990; amended October 12, 1994; amended January 20, 1999, effective February 1, 1999; amended October 22, 2001, effective November 1, 2001; amended July 27, 2004, effective October 1, 2004; amended October 25, 2005, effective January 1, 2006; amended July 30, 2008, effective September 1, 2008; amended May 5, 2010, effective July 1, 2010; amended December 17, 2015, effective February 1, 2016; June 22, 2017]

Rule 21A. Submissions under *United States v. Grostefon*

(a) Issues raised pursuant to *United States v. Grostefon*, 12 M.J. 431 (C.M.A. 1982), shall be presented in a separate Appendix to the supplement not to exceed 15 pages.

(b) *Grostefon* issues shall be identified by counsel with particularity substantially in the following form:

APPENDIX

Pursuant to *United States v. Grostefon*, 12 M.J. 431 (C.M.A. 1982), appellant, through appellate defense counsel, personally requests that this Court consider the following matters:

[List issues and any argument for each issue.]

(c) *Grostefon* issues raised within 30 days of the filing of the supplement under Rule 19(a)(5)(c) are subject to and included within the 15-page limit in Rule 21A(a).

[Adopted May 5, 2010, effective July 1, 2010]

Rule 22. Certificate for Review

(a) A certificate for review under Rule 18(a)(2) will be substantially in the following form:

IN THE UNITED STATES COURT OF APPEALS
FOR THE ARMED FORCES

UNITED STATES,
(Appellee)
(Appellant)

CERTIFICATE FOR REVIEW

v.

(Full typed name, rank,
& service of accused)
(Appellant)
(Appellee)

Crim.App. Dkt. No. _____

USCA Dkt. No. _____
[For Court use only]

TO THE JUDGES OF THE UNITED STATES COURT OF APPEALS
FOR THE ARMED FORCES:

1. Pursuant to Article 67(a)(2) of the Uniform Code of Military Justice, 10 USC 867(a)(2), the record of trial and decision of the United States _____ Court of Criminal Appeals in the above-entitled case are forwarded for review.

2. The accused has been found guilty by a (*type of court-martial*) of a violation of Article(s) _____ of the Uniform Code of Military Justice and has been sentenced to (*include entire adjudged sentence*) on the (*insert trial date*). The trial took place at (*location*). The convening authority approved the following findings and sentence: _____. The Court of Criminal Appeals (*state action taken*). [Substitute different case history facts as appropriate when the Court of Criminal Appeals decision involves an application for extraordinary relief or an appeal by the United States under Article 62, UCMJ, 10 USC § 862.]

3. It is requested that action be taken with respect to the following issue(s):

[Set out issue(s) here]

The Judge Advocate General

Received a copy of the foregoing Certificate for Review this ____ day of _____, ____.

Appellate Government Counsel

Appellate Defense Counsel

Address and telephone no.

Address and telephone no.

(b)(1) Article 62, UCMJ, cases. A certificate for review of a decision by a Court of Criminal Appeals on appeal by the United States under Article 62, UCMJ, 10 USC § 862, shall be filed, together with a supporting brief in accordance with Rule 24, on behalf of the appellant no later than 60 days after the date of the decision of the Court of Criminal Appeals. *See* Rule 34(a). An appellee's answer shall be filed

no later than 10 days after the filing of such certificate for review and supporting brief. A reply may be filed by the appellant no later than 5 days after the filing of the appellee's answer.

(2) Extraordinary Relief Cases. A certificate for review of a decision by a Court of Criminal Appeals on application for extraordinary relief filed therein shall be filed, together with a supporting brief in accordance with Rule 24, on behalf of the appellant, no later than 60 days after the date of the decision of the Court of Criminal Appeals. *See* Rule 34(a). An appellee's answer shall be filed no later than 10 days after the filing of such certificate for review and supporting brief. A reply may be filed by the appellant no later than 5 days after the filing of the appellee's answer.

(3) Other Cases. In all other cases involving a decision by a Court of Criminal Appeals, a certificate for review shall be filed either (a) no later than 60 days after the date of the decision of the Court of Criminal Appeals (see Rule 34(a)) or (b) no later than 30 days after a petition for grant of review is granted. In case that involve both granted and certified issues, the Clerk may establish a consolidated briefing schedule for all issues. In cases that involve only certified issue(s), a brief in support of the certified issues shall be filed by the appellant in accordance with Rule 24 no later than 30 days after the issuance by the Clerk of a notice of docketing of the certificate for review. An appellee's answer shall be filed no later than 30 days after the filing of an appellant's brief. A reply may be filed by the appellant no later than 10 days after the filing of the appellee's answer.

[Amended October 1, 1987; amended October 12, 1994; amended February 27, 1996; amended December 8, 2006, effective January 1, 2007; amended August 8, 2012, effective September 1, 2012]

Rule 23. Mandatory Review Case

(a) The record in a mandatory review case under Rule 18(a)(3) will be filed, together with the following form:

IN THE UNITED STATES COURT OF APPEALS
FOR THE ARMED FORCES
UNITED STATES,
Appellee

MANDATORY REVIEW CASE

v.

 (Full typed name, rank,
 & service of appellant)
 Appellant

Crim.App. Dkt. No. _____

USCA Dkt. No. _____
 [For Court use only]

TO THE JUDGES OF THE UNITED STATES COURT OF APPEALS
 FOR THE ARMED FORCES:

The appellant, having an approved sentence to death, is entitled to mandatory review under Article 67(a)(1) of the Uniform Code of Military Justice, 10 USC § 867(a)(1).

The appellant was notified of the decision of the Court of Criminal Appeals on (*insert notification date*).

 The Judge Advocate General

Received a copy of the foregoing this _____ day of _____, ____.

 Appellate Government Counsel

 Appellate Defense Counsel

 Address and telephone no.

 Address and telephone no.

(b) In a mandatory review case, a brief setting forth assigned errors shall be filed by the appellant in accordance with Rule 24 no later than 60 days after the issuance by the Clerk of a notice of docketing of the case. Such brief shall not incorporate by reference that filed before a Court of Criminal Appeals, the convening authority, or the military judge. An appellee's answer shall be filed no later than 60 days after the filing of the assignment of errors and supporting brief. A reply may be filed by the appellant no later than 20 days after the filing of the appellee's answer.

Amended July 19, 1984, effective August 1, 1984; amended October 12, 1994; amended February 27, 1996]

BRIEFS

Rule 24. Form, Content, and Page Limitations

(a) Form and Content. All briefs shall conform to the printing, copying and style requirements of Rule 37, shall be legible, and shall be substantially as follows:

IN THE UNITED STATES COURT OF APPEALS FOR THE ARMED FORCES

UNITED STATES,

(Appellee)
(Appellant)
(Respondent)

BRIEF ON BEHALF
OF (APPELLANT,
APPELLEE, ETC.)

v.

(Full typed name, rank,
& service of accused)

Crim.App. Dkt. No. _____

(Appellant)
(Appellee)
(Petitioner)

USCA Dkt No. _____

Index of Brief
[See Rule 37(c)(1)]

Table of Cases, Statutes, and Other Authorities

Issue(s) Presented

[Set forth, *in a concise statement*, each issue granted review by the Court, raised in the certificate for review or mandatory review case, or presented in the petition for extraordinary relief, writ-appeal petition, or petition for new trial. Issues presented will be set forth in upper case letters.]

Statement of Statutory Jurisdiction

[Set forth the statutory basis of the Court of Criminal Appeals jurisdiction and the statutory basis for this Court's jurisdiction.]

Statement of the Case

[Set forth a concise chronology, including all relevant dates, to include: (A) the results of the trial; (B) actions of reviewing authorities

and the Court of Criminal Appeals; (C) the disposition of a petition for reconsideration or rehearing, if filed; and (D) any other pertinent information regarding the proceedings, including where applicable, the date the petition for review was granted.]

Statement of Facts

[Set forth a concise statement of the facts of the case material to the issue or issues presented, including references to each relevant portion of the record of trial. Answers may adopt the appellant's or petitioner's statement of facts if there is no dispute, may state additional facts, or if there is a dispute, may restate the facts as they appear from the appellee's or respondent's viewpoint. The repetition of uncontroverted matters is not desired.]

References to the Record

[References to the parts of the record contained in the joint appendix filed with the appellant's brief must be to the pages of the joint appendix.]

Summary of Argument

[Each brief and answer shall contain a summary of argument, suitably paragraphed to correspond to each issue presented. The summary should be a succinct but accurate and clear condensation of the arguments made in the body of the brief.]

Argument

[Discuss briefly the point of law presented, citing and quoting such authorities as are deemed pertinent. The argument must also include for each issue presented a statement of the applicable standard of review. The standard of review may appear in the discussion of each issue or under a separate heading.]

Conclusion

[State the relief sought as to each issue presented, for example, reversal of the Court of Criminal Appeals decision and dismissal of the charges, grant of a new trial, the extraordinary relief sought, etc. No particular form of language is required, so long as the brief concludes with a clear prayer for specific Court action.]

(Signature of counsel)

(Typed name of counsel)

(Address of counsel)

(Telephone no. of counsel)

CERTIFICATE OF FILING AND SERVICE

I certify that a copy of the foregoing was [delivered] (or) [mailed-specify class] (or) [delivered to-specify the name of the third-party commercial carrier for delivery and within how many days delivery will be effected] to the Court and [delivered] (or) [mailed-specify class] (or) [delivered to-specify the name of the third-party commercial carrier for delivery and within how many days delivery will be effected] (or) [transmitted by electronic means with the consent of the counsel being served] to (email or fax no.) on (date) .

[Where more than one counsel or party is being served, the certificate should specify how each party or counsel was served.]

(Typed name and signature)

(Address and telephone no.)

(b) Page Limitations. Unless otherwise authorized by order of the Court or by motion of a party granted by the Court (*see* Rule 30), or by Rule 24(c), the page limitations for briefs filed with the Court, not including appendices shall be as follows:

(1) Briefs of the appellants/petitioners shall not exceed 30 pages;

(2) Answers of the appellees/respondents shall not exceed 30 pages;

(3) Replies of the appellants/petitioners shall not exceed 15 pages.

(c) Type-Volume Limitations.

(1) A brief of the appellants/petitioners and an answer of the appellees/respondents is acceptable if:

it contains no more than 14,000 words; or

it contains no more than 1,300 lines of text.

(2) A reply is acceptable if it contains no more than half of the type-volume specified in Rule 24(c)(1).

(3) Headings, footnotes, and quotations count toward the word and line limitations. The index, table of cases, statutes, and other authorities, the appendix and any certificates of counsel do not count toward the limitation.

(d) Certificate of Compliance. A brief submitted under Rule 24(c) must include a certificate stating that the brief complies with the type-volume limitation and Rule 37. The person preparing the certificate may rely on the word or line count of the word-processing system used to prepare the brief. The certificate must state either: (i) the number of words in the brief; or (ii) the number of lines in the brief.

(e) Form of Certificate of Compliance.

CERTIFICATE OF COMPLIANCE WITH RULE 24(d)

This brief complies with the type-volume limitation of Rule 24(c) because:

[The principal brief may not exceed 14,000 words or 1,300 lines; a reply or amicus brief may not exceed 7,000 words or 650 lines]

This brief contains _____ [state the number of] words,

or

This brief contains _____ [state the number of] lines of text.

This brief complies with the typeface and type style requirements of Rule 37.

/s/ _____

Attorney for _____

Dated: _____

(f) Joint Appendix. The appellant or petitioner shall be responsible for filing eight copies of a joint appendix, which shall be a separate document filed contemporaneously with the brief.

(1) Contents. The joint appendix shall contain:

(A) a copy of the decision of the Court of Criminal Appeals;

(B) copies of unpublished opinions cited in the brief of the appellant or petitioner; the appellee or respondent will include copies of unpublished opinions cited in its brief as an attachment to its brief;

(C) relevant extracts of rules and regulations;

(D) relevant docket entries from the proceeding below;

(E) relevant portions of the pleadings, charges, and findings from the proceeding below;

(F) other parts of the record of trial to which the parties wish to direct the Court's attention set out in chronological order.

(2) Format. The joint appendix will be produced on 8.5 by 11 inch white paper, be bound in a manner that is secure and does not obscure the text, and will permit the contents to lie reasonably flat when open. The cover must be white and contain the caption of the case and docket number. The cover shall be followed by a table of contents. Pages in the joint appendix shall be sequentially numbered in a manner that does not obscure any page numbers reflected in the record of trial. If the joint appendix consists of less than 100 pages, it may be reproduced by single-sided or double-sided copying. If it consists of 100 pages or more, the joint appendix shall use double-sided copying. Classified material or matters under seal that are to be included in a joint appendix shall be submitted in a separate volume, clearly designated as containing classified or sealed material. Classified material will be handled in accordance with Rule 12.

(3) Deadline. Unless otherwise ordered by the Court, the joint appendix shall be filed contemporaneously with the brief of the appellant or petitioner. If a cross-appeal is filed, a single joint appendix shall be filed for both appeals subject to a briefing schedule established by the Clerk. The appellant or petitioner shall serve one copy on opposing counsel.

(4) Agreement and Designation. The parties are encouraged to agree on the contents of the joint appendix. In the absence of

agreement, the appellant or petitioner must, within 10 days of the order granting the petition, the filing of a certificate for review by a Judge Advocate General, the notice of the docketing of a mandatory review case, or the filing of a petition for new trial, petition for extraordinary relief or a writ-appeal petition, serve on the appellee or respondent a designation of the issues to be raised on appeal and of the parts of the record to be included in the joint appendix. The appellee or respondent may, within 10 days after receiving the designation, serve on the appellant or petitioner a designation of the additional parts of the record to draw to the attention of the Court. The appellant or petitioner must include the parts designated by the appellee or respondent in the joint appendix. The parties must avoid engaging in unnecessary designation of parts of the record because unnecessary designation is wasteful, and the entire record is available to the Court. In the event a cross-appeal is filed, the deadlines for designations shall be established by the Clerk.

(5) **Dispensing With Requirement.** The Court, on its own motion or that of a party, may dispense with the requirement for a joint appendix and may permit a case to be heard on the original record with any copies of the record or parts thereof that the Court may order the parties to file.

[Amended October 1, 1987; amended October 30, 1991, effective November 4, 1991; amended March 3, 1992, effective April 1, 1992; amended October 12, 1994; amended February 27, 1996; amended January 12, 1998, effective February 2, 1998; amended January 20, 1999, effective February 1, 1999; amended October 22, 2001, effective November 1, 2001; amended July 27, 2004, effective October 1, 2004; amended October 25, 2005, effective January 1, 2006; amended June 4, 2007, effective August 1, 2007]

Rule 25. When Briefs are Required

Unless otherwise ordered by the Court, briefs shall be filed in all mandatory review cases and in support of all granted petitions, certificates for review, and petitions for new trial. The appellee's answer and the appellant's reply in any of the foregoing instances shall also be in the format specified in Rule 24. The answer and reply to the supplement to a petition for grant of review shall be in accordance with Rule 21(c).

[Amended March 26, 1998, effective May 1, 1998]

Rule 26. Amicus Curiae Briefs

(a) A brief of an *amicus curiae* may be filed (1) by an appellate government or defense division of an armed service other than that in which the case has arisen; (2) by invitation of the Court; or (3) by motion for leave to file granted by the Court.

(b) All motions and briefs filed under Rule 26(a)(3) must contain a statement of the movant's interest and why the matters asserted are relevant to the disposition of the case. *Amicus curiae* briefs filed pursuant to Rule 26(a)(3) that bring relevant matter to the attention of the Court not already brought to its attention by the parties may be of considerable help to the Court. An *amicus curiae* brief that does not serve this purpose burdens the Court, and its filing is not favored. The motion must also provide a statement as to whether the parties consent to the filing of the *amicus curiae* brief. Only an attorney admitted to practice as a member of the Bar of the Court or an attorney appearing *pro hac vice* may file an *amicus curiae* brief.

(c) An *amicus curiae* brief submitted before the Court's consideration of a petition for grant of review, petition for extraordinary relief, writ-appeal petition, or petition for new trial may be filed under subparagraphs (a)(1) or (a)(2), or if the Court grants leave to file under subparagraph (a)(3) of this rule.

(d) Unless otherwise ordered by the Court, a brief of an *amicus curiae* in support of a party shall be filed no later than 10 days after that party has filed its brief, supplement to the petition for grant of review, petition for extraordinary relief, writ-appeal petition, or answer. If no party is supported, the brief of an *amicus curiae* shall be filed no later than 10 days after the first brief, supplement to the petition for grant of review, petition for extraordinary relief, or writ-appeal petition is filed. In the case of a petition for new trial, the brief of an *amicus curiae* shall be filed no later than 10 days after the petitioner's brief in support of the petition has been filed with the Court. Motions for leave to file an *amicus curiae* brief under Rule 26(a)(3) must be filed within the time allowed for the filing of the brief and contemporaneously with the *amicus curiae* brief itself. Requests for extensions of time to file an *amicus curiae* brief will be granted for good cause shown. A party may file a motion under Rule 30 for leave to reply to the brief of an *amicus curiae*.

(e) Neither the hearing nor the disposition of a case will be delayed pending action on a motion for leave to file an *amicus curiae* brief or a motion of an *amicus curiae* to participate in a hearing, or to await the filing of a brief of an *amicus curiae* under this rule.

(f) Except by the Court's permission, a brief of an *amicus curiae* may be no more than one-half the maximum length authorized by Rule 24 for a brief for an appellant/petitioner. If the Court grants a party permission to file a longer brief, that extension does not affect the length of an *amicus curiae* brief.

(g) A member of the Bar of the Court who represents an *amicus curiae* and is authorized to file a brief under paragraph (a) of this rule may file a motion for leave to have a law student enter an appearance on behalf of an *amicus curiae*. To be eligible to participate under this rule, a law student must be acting under the attorney's supervision and the attorney and the law student must substantially comply with the requirements of Rule 13A(b)(1)–(5) and (c)(1)–(11). Argument by a law student granted permission to appear on behalf of an *amicus curiae* may be requested by motion filed under Rule 30.

[Amended March 26, 1998, effective May 1, 1998; amended July 27, 2004, effective October 1, 2004; amended December 8, 2006, effective January 1, 2007; amended February 1, 2016, effective March 1, 2016]

EXTRAORDINARY RELIEF

Rule 27. Petition for Extraordinary Relief, Writ-Appeal Petition, Answer, and Reply

(a) Petition for Extraordinary Relief.

(1) A petition for extraordinary relief shall be filed within the time prescribed in Rule 19(d), shall conform in length to Rule 24(d), and, in accordance with Rule 39, be accompanied by proof of service on all respondents. The petitioner shall also provide a copy of the petition to any trial or appellate military judge whose decision, judgment, or order is the subject of the petition.

(2)(A) The petition for extraordinary relief shall be captioned "In Re [name of petitioner]."

(B) The petition shall contain:

(i) a history of the case, including whether prior actions or requests for the same relief have been filed or are pending in this or any other forum and the disposition or status thereof;

(ii) the reasons relief has not been sought from the appropriate Court of Criminal Appeals, if that is the case (*see* Rule 4(b)(1));

(iii) the relief sought;

(iv) the issues presented;

(v) the facts necessary to understand the issues presented by the petition;

(vi) the reasons why the writ should issue; and

(vii) The mailing address, telephone, and facsimile telephone numbers of each respondent.

(C) The petition shall include copies of any order or opinion or parts of the record that may be essential to understand the matters set forth in the petition.

(D) Service on Judge Advocate General. The Clerk shall forward a copy of the petition to the Judge Advocate General of the service in which the case arose.

(3) Denial; Order Directing Answer; Briefs; Precedence.

(A) The Court may deny the petition without answer. Otherwise, it may order the respondent or respondents to answer within a fixed time. *See* Rule 28(b)(1). The Court may also take any other action deemed appropriate, including referring the matter to a special master, who may be a military judge or other person, to make further investigation, to take evidence, and to make such recommendations to the Court as are deemed appropriate. *See United States v. DuBay*, 17 USCMA 147 (1967).

(B) When the Court directs that an answer be filed, two or more respondents may answer jointly.

(C) The Court may invite or order any trial or appellate military judge whose decision, judgment, or order is the subject of the petition to respond or may invite an *amicus curiae* to do so. A trial or

appellate military judge may request permission to respond but may not respond unless invited or ordered to do so by the Court.

(D) The Court may set the matter for hearing. However, the Court may grant or deny the relief sought or issue such other order in the case as the circumstances may require on the basis of the pleadings alone.

(E) If further briefing or oral argument is required, the Clerk shall advise the parties and, when appropriate, any judge or judges or *amicus curiae*.

(b) Writ-Appeal Petition, Answer, and Reply. A writ-appeal petition for review of a decision by a Court of Criminal Appeals acting on a petition for extraordinary relief shall be filed by an appellant, together with any available record, including the items specified in subsection (a)(2)(C), within the time prescribed by Rule 19(e), shall conform in length to Rule 24(b), shall be accompanied by proof of service on the appellee in accordance with Rule 39, and shall contain the information required by subsection (a)(2)(B). The appellee shall file an answer no later than 10 days after the filing of the writ-appeal petition. A reply may be filed by the appellant no later than 5 days after the filing of the appellee's answer. See Rules 28(b)(2) and (c)(2). Upon the filing of pleadings by the parties, the Court may grant or deny the writ-appeal petition or take such other action as the circumstances may require.

[Amended March 26, 1998, effective May 1, 1998; amended January 10, 2008, effective March 1, 2008; amended March 15, 2012, effective April 1, 2012]

**Rule 28. Form of Petition for Extraordinary Relief, Writ-Appeal
Petition, Answer, and Reply**

(a) Petition / Writ-Appeal Petition. A petition for extraordinary relief or a writ-appeal petition for review of a Court of Criminal Appeals decision on application for extraordinary relief will be accompanied by any order or opinion or parts of the record that may be essential to understanding the matters set forth in the petition, and will be substantially in the following form:

IN THE UNITED STATES COURT OF APPEALS
FOR THE ARMED FORCES

In Re [Petition for
Extraordinary Relief only]

OR

(or)

_____,
(Petitioner)
(Appellant)

v.

_____,
(Respondent
(Appellee)

[WRIT-APPEAL PETITION

FOR REVIEW OF (Name of
Service) COURT OF
CRIMINAL APPEALS
DECISION ON
APPLICATION FOR
EXTRAORDINARY RELIEF

Crim.App. Dkt. No. _____

USCA Dkt No. _____

IN THE NATURE OF (Type of
Writ Sought)

[For Court Use Only]

Preamble

The (petitioner) (appellant) hereby prays for an order directing the
(respondent) (appellee) to:

[Briefly state the relief sought.]

I

History of the Case
[See Rule 27(a)(2)(B)(i)]

II

Reasons Relief Not Sought Below
[See Rule 27(a)(2)(B)(ii)]

III

Relief Sought

[State with particularity the relief which the petitioner or appellant seeks to have the Court order.]

IV

Issues Presented

[Do not include citations of authority or discussion of principles. Set forth no more than the full questions of law involved.]

V

Statement of Facts
[See Rule 27(a)(2)(B)(v)]

VI

Reasons Why Writ Should Issue

[Where applicable, indicate why the Court of Criminal Appeals erred in its decision.]

VII

Respondents' Addresses, Telephone, and Facsimile Numbers
[See Rule 27(a)(2)(B)(vii)]

Signature of the [petitioner][appellant][counsel]

Address, telephone no., and facsimile no. of the
[petitioner][appellant][counsel]

CERTIFICATE OF FILING AND SERVICE

I certify that a copy of the foregoing was [delivered] (or) [mailed-specify class] (or) [delivered to-specify the name of the third-party commercial carrier – for delivery and specify within how many days delivery will be effected] to the Court, [delivered] (or) [mailed-specify class] (or) [delivered to-specify the name of the third-party commercial carrier-for delivery and specify within how many days delivery will be effected] (or) to the [trial or appellate military judge whose decision, judgment, or order is the subject of the petition] and [delivered] (or) [mailed-specify class] (or) [delivered to-specify the name of the third-party commercial carrier-for delivery and specify within how many days delivery will be effected] (or) [transmitted by electronic means with the consent of counsel being served-specify the electronic mail address or facsimile number used] to _____, [email or facsimile no.] the [respondent] [appellee] on _____[date].

(Typed name and signature)

(Address and telephone no.)

(b) Answer.

(1) The respondent's answer to an order to show cause, if ordered by the Court after consideration of a petition for extraordinary relief, shall be in substantially the same form as that of the petition, except that the answer may incorporate the petitioner's statement of facts, add supplementary facts, or contest the statement. To the extent that the petitioner's statement of facts is not contested by the respondent, it shall be taken by the Court as representing an accurate declaration of the basis on which relief is sought. The answer to the order to show cause will be filed no later than 10 days after service on the respondent of the order requiring such answer, unless a different time for filing the answer is specified in the Court's order.

(2) The appellee's answer to a writ-appeal petition shall be filed no later than 10 days after filing of the appellant's writ-appeal petition.

(c) Reply.

(1) A reply may be filed by the petitioner no later than 5 days after the filing of a respondent's answer to an order to show cause.

(2) A reply may be filed by an appellant, in the case of a writ-appeal petition, no later than 5 days after the filing of an appellee's answer.

[Amended October 12, 1994; amended February 27, 1996; amended March 26 and April 30, 1998, effective May 1, 1998; amended October 25, 2005, effective January 1, 2006]

PETITIONS FOR NEW TRIAL

Rule 29. Filing, Notice, Briefs, and Special Master

(a) Filing. A petition for new trial will be filed with the Judge Advocate General of the service concerned, who, if the case is pending before this Court, will transmit it, together with 7 copies, to the Clerk's office for filing with the Court.

(b) Notice. Upon receipt of a petition for new trial transmitted by the Judge Advocate General, the Clerk will notify all counsel of record of such fact.

(c) Briefs. A brief in support of a petition for new trial, unless expressly incorporated in the petition, will be filed substantially in the form specified in Rule 24 no later than 30 days after the issuance by the Clerk of a notice of the filing of the petition. An appellee's answer shall be filed no later than 30 days after the filing of an appellant's brief. A reply may be filed no later than 10 days after the filing of the appellee's answer.

(d) Special Master. The Court may refer the petition for new trial to a special master, who may be a military judge or other person, to make further investigation, to take evidence, and to make recommendations to the Court as are deemed appropriate. *See United States v. DuBay*, 17 USCMA 147 (1967).

[Amended March 31, 1994]

MOTIONS

Rule 30. Motions

(a) All motions will be filed in writing and will state with particularity the relief sought and the factual or legal grounds for requesting such relief, and will include a certificate of filing and service in accordance with Rule 39(e). A copy will be served on opposing counsel and others who have entered an appearance in the proceedings.

(b) An answer to a motion may be filed no later than 5 days after the filing of the motion.

(c) A reply to an answer to a motion may be filed no later than 5 days after the filing of the answer.

(d) Motions will be separately filed before the Court and shall not be incorporated in any other pleading.

(e) Once a notice of hearing has been given to counsel for the parties, motions may not be filed with 5 business days prior to the date on which such hearing is scheduled, except by leave of the Court and for good cause shown.

(f) Oral motions presented by counsel by leave of the Court during a hearing shall be forthwith reduced to writing by the moving counsel and filed with the Court within 3 days after such hearing.

(g) Notwithstanding any other provision of these rules, the Court may immediately act on any motion without awaiting an answer or reply, if it appears that the relief sought ought to be granted. Any party adversely affected by such action may request reconsideration, vacation, or modification of such action.

[Amended November 1, 1995, effective November 15, 1995; amended September 20, 1999, effective October 1, 1999; amended January 10, 2008, effective March 1, 2008]

FACTFINDING

Rule 30A. Factfinding

(a) General. The Court will normally not consider any facts outside of the record established at the trial and the Court of Criminal Appeals. Requests to consider factual material that is not

contained in the record shall be presented by a motion to supplement the record filed pursuant to Rule 30. The motion shall include statements explaining why the matter was not raised previously at trial or before the Court of Criminal Appeals and why it is appropriate to be considered for the first time in this Court. Motions filed pursuant to this rule will be granted only for good cause shown.

(b) Judicial Notice. In an appropriate case, the Court may take judicial notice of an indisputable adjudicative fact.

(c) Remand for Factfinding. If an issue concerning an unresolved material fact may affect the Court's resolution of the case, a party may request, or the Court may *sua sponte* order, a remand of the case or the record to the Court of Criminal Appeals. If the record is remanded, the Court retains jurisdiction over the case. If the case is remanded, the Court does not retain jurisdiction, and a new petition for grant of review or certificate for review will be necessary if a party seeks review of the proceedings conducted on remand.

(d) Stipulation by the Parties. If an issue concerning an unresolved material fact may affect the Court's resolution of the case, the parties may stipulate to a factual matter, subject to the Court's approval.

(e) Other Means. Where it is impracticable to remand a case to the Court of Criminal Appeals, the Court may order other means to develop relevant facts, including the appointment of a special master to hold hearings, if necessary, and to make such recommendations to the Court as are deemed appropriate.

[Adopted May 25, 1999, effective July 1, 1999; amended July 19, 2010, effective August 1, 2010]

RECONSIDERATION

Rule 31. Petition for Reconsideration

(a) A petition for reconsideration may be filed no later than 10 days after the date of any order, decision, or opinion by the Court.

(b) An answer may be filed by opposing counsel no later than 5 days after the filing of the petition.

(c) A reply to an answer to a petition may be filed no later than 5 days after the filing of the answer.

(d) A petition for reconsideration shall be granted with the concurrence of a majority of the judges who participated in the original decision.

(e) Consecutive petitions for reconsideration, and any such petition that is out of time, will not be filed unless accompanied by a motion for leave to file the same, in accordance with Rule 30, and unless such motion is granted by the Court.

[Amended November 1, 1995, effective November 15, 1995; amended January 20, 1999, effective February 1, 1999]

Rule 32. Form of Petition for Reconsideration

A petition for reconsideration will be filed in substantially the following form:

IN THE UNITED STATES COURT OF APPEALS
FOR THE ARMED FORCES

_____,	
(Appellee)	
(Appellant)	PETITION FOR
(Respondent)	RECONSIDERATION
(Petitioner)	
v.	
_____,	Crim.App. Dkt. No. _____
(Appellant)	
(Appellee)	USCA Dkt. No. _____
(Petitioner)	
(Respondent)	

TO THE JUDGES OF THE UNITED STATES COURT OF APPEALS
FOR THE ARMED FORCES:

The Court is requested to reconsider its (opinion) (order) (decision) in this case for the following reasons:

[The petition shall state with particularity the points of law or fact which, in the opinion of the party seeking reconsideration, the Court has overlooked or misapprehended and shall contain such argument

in support of the petition as the party desires to present. **Petitions are not to contain merely a restatement of arguments already presented.]**

(Counsel's typed name and signature)

(Counsel's address and telephone no.)

CERTIFICATE OF FILING AND SERVICE

I certify that a copy of the foregoing was [delivered] (or) [mailed-specify class] (or) [delivered to-specify the name of the third-party commercial carrier-for delivery and specify within how many days delivery will be effected] to the Court and [delivered] (or) [mailed-specify class] (or) [delivered to-specify the name of the third party commercial carrier-for delivery and specify how many days delivery will be effected] (or) [transmitted by electronic means with the consent of counsel being served] to the [appellant] [appellee] [petitioner] [respondent] on ____ (date) ____.

(Typed name and signature)

(Address and telephone no.)

[Amended October 12, 1994; amended February 27, 1996; amended October 25, 2005, effective January 1, 2006]

PRACTICE BEFORE THE COURT

Rule 33. Suspension of Rules

For good cause shown, the Court may suspend any of these rules in a particular case, on application of a party or on its own motion, and may order proceedings in accordance with its direction.

Rule 34. Computation of Time

(a) General. In computing any period of time prescribed or allowed by these rules, order of the Court, or any applicable statute, the day

of the act, event, or default after which the designated period of time begins to run is not to be included. The last day of the period so computed is to be included, unless it is a Saturday, Sunday, or legal holiday, in which event the period runs until the end of the next day which is neither a Saturday, Sunday, nor a holiday. When the period of time prescribed or allowed is less than 7 days, intervening Saturdays, Sundays and legal holidays will be excluded in the computation. When a period of time is computed under these rules from the date of the decision of a Court of Criminal Appeals, such time is to be computed from the date of such decision, unless a petition for reconsideration is timely filed, in which event the period of time is to be computed from the date of final action on the petition for reconsideration.

(b) **Additional Time When Service Not Made in Person.** Whenever a party has the right or is required to do some act within a prescribed period after the issuance of an order or the filing of a notice, pleading, or other paper relative to a case when service thereof is made upon him by mail, 5 days will be added to the prescribed period if the party upon whom the service is made is within the limits of the contiguous 48 States and the District of Columbia, and 15 days will be added if the party is located outside these limits, including the States of Alaska and Hawaii. If service is made by delivery to a commercial third-party carrier or electronically, an additional 3 days will be added to the prescribed period, regardless of the location where service is made. This provision for additional time shall not apply, however, to the time limitations prescribed in Rule 19(a)(1) for the filing of a petition for grant of review.

[Amended October 1, 1987; amended October 12, 1994; amended October 25, 2005, effective January 1, 2006]

Rule 35. Filing of Record

The record shall be filed by the Judge Advocate General as soon as practicable after the docketing of any action pursuant to Rule 4. *See* Rule 27(a) and (b).

Rule 35A. Use of Classified Information

Classified information shall be included in documents filed with the Court only when necessary to a proper consideration of the issues

involved. The original or one complete copy of a document containing the classified information shall be filed with the Court. The party filing such document shall give written notice to the Clerk and to all other parties prior to the time of such filing that such document contains classified information. In addition, there shall be filed in accordance with Rule 37(b)(2) an original and 7 copies of each such document from which the classified information has been deleted or omitted, in such a manner that the pages which contain the deleted or omitted classified information are clearly identified.

[Adopted January 20, 1999, effective February 1, 1999]

Rule 36. Filing of Pleadings

(a) In General. Pleadings or other papers relative to a case shall be filed in the Clerk's office, 450 E Street, Northwest, Washington, D.C. 20442-0001, either in person, by mail, or by third-party commercial carrier. See Rule 37(b)(2).

(b) Filing in Person. If a pleading or other paper is filed in person, such filing shall consist of delivery to a member of the Clerk's office during normal business hours. See Rule 9(e).

(c) Filing by Mail. If a pleading or other paper is filed by mail, such filing shall consist of depositing the pleading or other paper with the United States Postal Service, with no less than first-class postage prepaid, properly addressed to the Clerk's office. If a pleading or other paper is filed through a third-party commercial carrier, such filing shall consist of delivery to the commercial carrier for delivery within 3 calendar days.

(d) Time of Filing. Pleadings or other papers shall be deemed to have been filed on the date they are delivered to the Clerk's office under subsection (b) or on the date they are mailed or delivered to a commercial carrier under subsection (c). See Rules 37(b)(1) and 39(e).

(e) Non-Compliant Pleadings. If any pleading or other paper is not filed or offered for filing in compliance with these rules or an order of the Court, the Court may issue an order to show cause, dismiss the proceeding, or return the proffered pleading or paper on its own motion or the motion of a party. *See* Rule 37(b)(1).

[Amended September 20, 1999, effective October 1, 1999; amended October 25, 2005, effective January 1, 2006]

Rule 36A. Citations to Supplemental Authorities

If pertinent and significant authorities come to a party's attention after such party has filed a pleading allowed under these Rules, or after oral argument but before a final decision, the party may promptly advise the Clerk by letter, with a copy to all parties, setting forth the citations. The letter must state why the supplemental citations are pertinent and significant, referring either to the page of the earlier filed pleading or to a point argued orally. The body of the letter must not exceed 350 words, and copies of the supplemental authorities referenced in the letter shall be attached to the original and each copy of the letter. Any response by other parties must be made promptly and must be similarly limited. *See* Rule 37(b)(2).

If the letter or the response is to be submitted less than 5 business days prior to oral argument, submission and service shall be by overnight mail delivery or by more expeditious means to allow the Court and all parties adequate time to consider the authorities cited before oral argument.

[Adopted September 20, 1999, effective October 1, 1999; amended January 10, 2008, effective March 1, 2008]

Rule 37. Printing, Copying, and Style Requirements

(a) **Printing.** Except for records of trial and as otherwise provided by Rule 24(f) or any order of the Court regarding the electronic filing of pleadings, all pleadings or other papers relative to a case shall be typewritten and double-spaced, printed on one side only on white unglazed paper, 8.5 by 11 inches in size, securely fastened in the top left corner. All printed matter, including footnotes, must appear in proportional type, e.g., Times New Roman. The use of 14-point type is required. Margins must be at least 1 inch on all four sides. Page numbers may be placed in the margin but no text may appear in the margin.

(b) **Copying.**

(1) Copies of typewritten pleadings and papers may include those produced by any process capable of producing a clearly legible black image on white paper, but shall not include ordinary carbon copies. If papers are filed in any other form, the Clerk shall require

the substitution of new copies, but such substitution will not affect the filing date of the papers or pleadings involved. *See* Rule 36.

(2) Except for electronically filed pleadings, an original and 7 legible copies of all pleadings or other papers relative to a case shall be filed. *See* Rule 35A concerning documents which contain classified information.

(c) Style.

(1) All pleadings that consist of ten or more pages shall be preceded by a subject index of the matter contained therein, with page references, and a table of cases (alphabetically arranged with citations), textbooks, and statutes cited, with references to the pages where cited.

(2) Citations shall conform with the *Uniform System of Citation*.

(3) All references to the record of trial shall include page numbers or exhibit designations, as appropriate.

(4) No pleading or other paper filed with the Court shall incorporate by reference any material from any other source.

[Amended October 30, 1991, effective November 4, 1991; amended January 20, 1999, effective February 1, 1999; amended July 27, 2004, effective October 1, 2004; amended December 8, 2006, effective January 1, 2007; amended July 15, 2009, effective September 1, 2009; amended December 17, 2015, effective February 1, 2016]

Rule 38. Signatures

(a) General. Except for documents filed *in propria persona* and those provided for in subsection (b), all original pleadings or other papers filed in a case will bear the signature of at least one counsel who is a member of this Court's Bar and who is participating in the case. The name, address, telephone number, Court Bar number, and rank, if any, of the person signing, together with the capacity in which such counsel signs the paper, will be included. This signature will constitute a certificate that the statements made in the pleading or paper are true and correct to the best of the counsel's knowledge, information, or belief, and that the pleading or paper is filed in good faith and not for the purpose of unnecessary delay. A counsel who signs a pleading "for" some other counsel whose name is typed under

such signature must, in addition, affix their own signature in a separate signature block with their own name, address, telephone number, Court Bar number, and rank, if any, typed thereunder.

(b) Exception. If the counsel signing a pleading or paper presented to the Clerk's office for filing is not a member of the Bar of the Court, the pleading or paper shall nonetheless be received as if such counsel were a member. However, within 30 days of the filing of a pleading, such counsel shall, as a prerequisite to continuing in the case as counsel of record, apply for admission to the Bar of this Court or move to appear *pro hac vice* under Rule 13.

[Amended October 1, 1987; amended July 27, 2004, effective October 1, 2004]

Rule 39. Service of Pleadings

(a) In General. At or before the filing of any pleading or other paper relative to a case in the Clerk's office, a copy thereof shall be served on all counsel of record, including *amicus curiae* counsel, in person, by mail, by third-party commercial carrier, or by electronic means if the party being served consents. See Rule 16(b). When a party is not represented by counsel, service shall be made on such party in person, by mail, or by third-party commercial carrier. When reasonable, considering such factors as the immediacy of the relief sought, distance, and cost, service must be at least as expeditious as the manner used to file the pleading or other paper with the Court. See Rule 36.

(b) Personal Service. If service is made in person, it shall consist of delivery at the office of the counsel of record, either to counsel or to an employee therein. If the party is not represented, service shall consist of delivery to such party.

(c) Service by Mail. If service is made by mail, it shall consist of depositing the pleading or other paper with the United States Postal Service, with no less than first-class postage prepaid, addressed to the counsel of record or, if the party is not represented, to such party, at the proper post office address.

(d) Service by Third-Party Commercial Carrier. If service is made by a third-party commercial carrier, it shall be for delivery within 3 calendar days.

(e) Time of Service. Personal service is complete on delivery. Service by mail or third-party commercial carrier is complete on mailing or delivery to the carrier. Service by electronic means is complete upon transmission.

(f) Certificate for Review. In the case of a certificate for review, service of a copy thereof shall be made on appellate defense counsel and appellate government counsel as prescribed in Rule 22(a).

(g) Form of Certificate of Filing and Service. A certificate indicating the specific manner of filing under Rule 36 and the specific manner of service under this rule shall be included in any pleading or other paper substantially in the following form:

CERTIFICATE OF FILING AND SERVICE

I certify that the original and seven copies of the foregoing were [delivered] (or) [mailed—specify class] (or) [delivered to—specify the name of the third party commercial carrier—for delivery—specify within how many days delivery will be effected] to the Court on (date) and that a copy of the foregoing was [delivered] (or) [mailed—specify class] (or) [delivered to—specify the name of the third-party commercial carrier-for delivery—specify within how many days delivery will be effected] (or) [transmitted by electronic means with the consent of the counsel being served-specify the electronic mail address or facsimile number used] to (enter specific name of each counsel of record or party, if not represented) on (date) .

(Typed name and signature of certifying person)

(Address and telephone no.)

[Amended September 20, 1999, effective October 1, 1999; amended October 25, 2005, effective January 1, 2006.]

HEARINGS

Rule 40. Hearings

(a) Motions, Petitions for Grant of Review, Petitions for Extraordinary Relief, Writ-Appeal Petitions, Petitions for New Trial and Petitions for Reconsideration. Except when ordered by the Court, hearings will not be permitted on motions, petitions for grant of

review, petitions for extraordinary relief, writ-appeal petitions, petitions for new trial, or petitions for reconsideration.

(b) When and How Heard. After the case is calendared as provided in Rule 11 and all required briefs have been filed, a hearing may be ordered by the Court.

(1) Notice of Hearing. The Clerk will give at least 20 days notice in writing to counsel for the parties of the time and place for the hearing, unless ordered otherwise by the Court. Upon receipt of such notice, counsel will notify the Clerk's office of the identity of the counsel who will present oral argument.

(2) Presentation. Unless directed otherwise by the Clerk, counsel for the appellant or petitioner will open and close argument. When the subject of a hearing is a motion, counsel for the moving party will be entitled to open and close. When both parties seek review in this Court, the accused shall be deemed the appellant for the purpose of this rule. Argument by counsel for *amicus curiae* will be allowed on motion filed under Rule 30.

(3) Time Allowed. Each side will normally be allotted 20 minutes to present oral argument.

[Amended July 15, 2009, effective September 1, 2009]

Rule 41. Photographing, Televising, Recording, or Broadcasting of Hearings

(a) The photographing, televising, recording, or broadcasting of any session of the Court or other activity relating thereto is prohibited unless authorized by the Court.

(b) Any violation of this rule will be deemed a contempt of this Court, and, after due notice and hearing, may be punished accordingly. *See*, Article 48, UCMJ.

[Amended October 22, 2001, effective November 1, 2001; amended December 8, 2006, effective January 1, 2007; amended April 19, 2011, effective May 1, 2011]

OPINIONS

Rule 42. Filing, Reproduction, and Distribution

All opinions of the Court will be filed with the Clerk for preservation. The reproduction, printing, and distribution of all opinions will be under the supervision of the Clerk.

Rule 43. Entry of Judgment

(a) Immediately upon the filing of an opinion of the Court, the Clerk shall prepare, sign, date, and enter the judgment. The notation of a judgment in the docket constitutes entry of the judgment. On the date judgment is entered, the Clerk shall distribute to all parties and the Judge Advocate General of the service in which the case arose a copy of the opinion and judgment. *See* Rule 10(d).

(b) If a judgment is rendered without an opinion, the Clerk shall prepare, sign, date and enter such judgment in an order following instruction from the Court. Notation of such order in the docket constitutes entry of the judgment and the effective date of the judgment is the date of that order. On the date such order is entered, the Clerk shall distribute to all parties and the Judge Advocate General of the service in which the case arose a copy of the order. *See* Rule 10(d).

[Amended March 26, 1998, effective May 1, 1998]

Rule 43A. Issuance of Mandate

(a) The mandate of the Court shall issue 7 days after the expiration of the time for filing a petition for reconsideration under Rule 31(a), unless such a petition is filed or the time is shortened or enlarged by order. A certified dated copy of the judgment and a copy of the opinion of the Court, if any, shall constitute the mandate, unless the Court directs that a formal mandate issue. The timely filing of a petition for reconsideration shall stay the mandate until disposition of the petition, unless otherwise ordered by the Court. If the petition is denied, the mandate shall issue 7 days after entry of the order denying the petition, unless the time is shortened or enlarged by order. In any case, the Court may order the mandate to issue forthwith.

(b) The effective date of any order shall be the date of that order, and no mandate shall issue. The Clerk shall distribute copies of all

such orders to all parties and the Judge Advocate General of the service in which the case arose.

[Adopted March 26, 1998, effective May 1, 1998]

JUDICIAL CONFERENCE

Rule 44. Judicial Conference

There shall be held annually, at such time and place as shall be designated by the Court, a conference for the purpose of considering the state of business of the Court and advising on ways and means of improving the administration of military justice.

[Amended March 26, 1998, effective May 1, 1998]

REVISION OF RULES

Rule 45. Rules Advisory Committee

(a) Establishment of Committee; Membership. A Rules Advisory Committee is hereby created for this Court. The Committee shall consist of not less than 9 members of the Bar of this Court and shall be selected by the Court, in such a way as to represent a broad cross-section of the legal profession. Representatives from government, law schools, and public interest groups shall, when practicable, be included on the Committee, as shall private practitioners. The Clerk of the Court shall be a member of the Committee and shall serve as its Reporter.

(b) Duties of the Committee. The Rules Advisory Committee appointed by this Court shall have an advisory role concerning practice and procedure before the Court. The Committee shall, among other things, (1) provide a forum for continuous study of the operating procedures and published rules of the Court; (2) serve as a conduit between the Bar, the public, and the Court regarding the Rules of the Court, procedural matters, and suggestions for changes; (3) draft, consider, and recommend rules and amendments to the Court for adoption; and (4) render reports from time to time, on its own initiative and on request, to the Court on the activities and recommendations of the Committee. The Committee shall prepare appropriate explanatory materials with respect to any rule change or other recommendation it submits to the Court.

(c) Terms of Members; Chairman. With the exception of the Clerk of the Court, the members of the Committee shall serve 3-year terms, which will be staggered in such a way as to enable the Court to appoint or re-appoint one-third of the Committee each year. The Court shall appoint one of the members of the Committee to serve as chairman.

APPENDIX

United States Court of Appeals for the Armed Forces

Washington, D.C.

In Re:

ELECTRONIC FILING

ORDER

It is, by the Court, this 22nd day of July 2010,

ORDERED:

That effective September 1, 2010, all pleadings, except as noted below, may be filed on paper or electronically in accordance with the guidelines attached to this Order. Joint appendices to briefs, filed under Rule 24(f), Rules of Practice and Procedure, shall be filed in paper form only. Administrative matters, such as bar admission applications and attorney disciplinary proceedings, may not be filed electronically. Counsel appearing before the Court are reminded that personal data identifiers must be redacted from documents filed electronically. *See* Guideline paragraph 3h.

This Order supersedes the Order of the Court of July 15, 2009 (68 M.J. 170), concerning electronic filing of petition documents, and that Order is hereby rescinded effective September 1, 2010.

For the Court,

/s/ William A. DeCicco

Clerk of the Court

Guidelines for Electronic Filing of Pleadings

1. Scope.

The United States Court of Appeals for the Armed Forces adopts the following provisions to govern the electronic filing of pleadings:

a. This Order applies to all pleadings filed electronically on or after September 1, 2010. Alternatively, pleadings may be filed in a paper format; however, the same pleading may not be filed both electronically and on paper.

b. Administrative matters, such as bar admission applications and attorney disciplinary proceedings, may not be filed electronically.

c. If the supplement to the petition for grant of review is filed electronically, an appendix to the supplement (containing the decision of the Court of Criminal Appeals, matters submitted pursuant to *United States v. Grostefon*, 12 M.J. 431 (C.M.A. 1982), and other required matter) shall also be filed electronically unless it consists of more than 50 pages. In such a case, the appendix may be submitted on paper and the supplement submitted electronically. In lieu of submitting an appendix in excess of 50 pages on paper, counsel may submit it in a CD or DVD format and note in the supplement that it is being filed in that format under separate cover. Record matters in the form of video media on CD-ROM or DVD may be submitted in a separate volume of the appendix that is filed in accordance with Rule 21(b).

d. A petition for grant of review filed personally by an appellant shall be filed on paper, as provided under Rule 20(a). All subsequent documents related to the petition for grant of review filed by counsel in such a case may be filed on paper or electronically.

e. The joint appendix to the brief will be filed in paper form only with the required number of paper copies rather than electronically. If the appellant or petitioner files the brief electronically, the joint appendix will be filed on the same day the brief is filed electronically.

2. Electronic Filing Address.

Counsel shall electronically file pleadings using an electronic mail message at the following e-mail address: efiling@armfor.uscourts.gov. For questions or help concerning the electronic filing of pleadings, counsel should contact the Clerk's office at (202) 761-1448.

3. Procedure.

a. The electronic filing of a pleading in compliance with these Guidelines shall constitute filing under the Rules of Practice and

Procedure. The pleading will be deemed filed as of the date and time of the transmission of the electronic mail message.

b. The electronic mail message shall contain the following in the subject block: (1) the name of the case; (2) the docket number if a docket number has been assigned; and (3) the words “electronic filing.” A description of the nature of the pleading will be included in the body of the electronic mail message.

c. The pleading shall be attached to the electronic mail message in Portable Document Format (PDF), and , when printed, shall be in compliance with the Rules of Practice and Procedure of the Court.

d. Counsel shall send an electronic copy of the message and all attachments to opposing counsel to accomplish service of the pleading under Rule 39. This may be accomplished by listing opposing counsel as a “cc” recipient of the electronic message.

e. The brief attached to an electronic filing shall contain the conformed signature (“/s/”) or digital signature of the attorney of record. This will comply with the requirements of Rule 38.

f. If a pleading is filed electronically in accordance with this Order, the party filing the pleading is not required to prepare and file printed copies of that pleading under Rules 37(a) and 37(b)(2). The Court will send a reply electronic message to the sender indicating receipt of the electronic filing. Electronic filers are advised that if they do not receive a reply electronic message by the following business day, they should immediately contact the Clerk’s office.

g. Classified material and material under seal will not be filed electronically. If such matters need to be filed, they will be submitted to the Court on paper as a supplemental filing to the document in which they would otherwise appear. In such cases, counsel will include in the text of the electronic mail message a notation that classified or sealed material is being separately submitted. The classified or sealed material will be appropriately packaged, marked and delivered, and will include a notation that it accompanies an electronic filing in the case. All classified material will be handled in accordance with Rule 12.

h. Counsel will refrain from including and shall redact the following personal data identifiers from documents filed with the Court:

- Social security numbers
- Names of minors
- Dates of birth
- Financial account numbers
- Home addresses.